

# Neolithic and Bronze Age migration to Ireland and establishment of the insular Atlantic genome

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**The Neolithic and Bronze Age transitions were profound cultural shifts catalyzed in parts of Europe by migrations, first of early farmers from the Near East and then Bronze Age herders from the Pontic Steppe. However, a decades-long, unresolved controversy is whether population change or cultural adoption occurred at the Atlantic edge, within the British Isles. We address this issue by using the first whole genome data from prehistoric Irish individuals. A Neolithic woman (3343–3020 cal BC) from a megalithic burial (10.3× coverage) possessed a genome of predominantly Near Eastern origin. She had some hunter–gatherer ancestry but belonged to a population of large effective size, suggesting a substantial influx of early farmers to the island. Three Bronze Age individuals from Rathlin Island (2026–1534 cal BC), including one high coverage (10.5×) genome, showed substantial Steppe genetic heritage indicating that the European population upheavals of the third millennium manifested all of the way from southern Siberia to the western ocean. This turnover invites the possibility of accompanying introduction of Indo-European, perhaps early Celtic, language. Irish Bronze Age haplotypic similarity is strongest within modern Irish, Scottish, and Welsh populations, and several important genetic variants that today show maximal or very high frequencies in Ireland appear at this horizon. These include those coding for lactase persistence, blue eye color, Y chromosome R1b haplotypes, and the hemochromatosis C282Y allele; to our knowledge, the first detection of a known Mendelian disease variant in prehistory. These findings together suggest the establishment of central attributes of the Irish genome 4,000 y ago.**

ancient DNA | genomics | population genetics

The oldest Gaelic literature describes the origins of the Irish people as a series of ancient invasions, and the archaeological record in Ireland, as elsewhere in Europe, exhibits several horizons where major cultural shifts are apparent (1). The two most transformative are the arrival of agriculture (~3750 BC) followed by the onset of metallurgy (~2300 BC). The Neolithic package characterized by animal husbandry, cereal crops, ceramics, and timber houses reached the shores of Ireland some 5,000 years after its beginnings in the Near East. The second great wave of change starts with the appearance of copper mines, associated with Bell Beaker pottery, which are quickly followed by Bronze tool-making, weaponry, and gold-working, with distinct Food Vessel pottery succeeding from the earlier beakers (2). This period coincides with the end of the large passage graves of Neolithic Ireland in favor of single burials and smaller wedge tombs.

Twentieth-century archaeology was dominated by two non-mutually-exclusive paradigms for how such large scale social change occurs (3). The first, demic diffusion, linked archaeological change with the displacement and disruption of local populations by inward migrations. However, from the 1960s onwards, this assertion was challenged by a paradigm of cultural diffusion whereby social change happened largely through indigenous processes.

High-throughput sequencing has opened the possibility for genome-wide comparisons of genetic variation in ancient populations, which may be informatively set in the context of extensive

modern data (4–10). In Europe, these clearly show population replacement by migrating farmers from southwest Asia at the onset of the Neolithic with some retrenchment of the earlier Mesolithic genome at later stages (5–9, 11, 12). Three longitudinal genome studies have also shown later genome-wide shifts around the beginnings of the Bronze Age in central Europe with substantial introgression originating with the Yamnaya steppe herders (7, 9, 10). However, replacement coupled to archaeological horizons is unlikely to be a universal phenomenon, and whether the islands of Britain and Ireland, residing at the temporal and geographical edges of both the Neolithic and steppe migrations, were subject to successive substantial population influxes remains an open and debated question. For example, a recent survey of archaeological opinion on the origins of agriculture in Ireland showed an even split between adoption and colonization as explanatory processes (13). Recent archaeological literature is also divided on the origins of the insular Bronze Age, with most opinion favoring incursion of only small numbers of technical specialists (1, 2, 14, 15).

To address this controversy, we present here the first, to our knowledge, genome-wide data from four ancient Irish individuals, a Neolithic woman (3343–3020 cal BC) from Ballynahatty, Co. Down, found in the context of an early megalithic passage-like grave, and three Early Bronze Age men from a cist burial in Rathlin Island, Co. Antrim (2026–1534 cal BC) with associated Food Vessel pottery (16) (*SI Appendix, Section S1*).

## Significance

**Modern Europe has been shaped by two episodes in prehistory, the advent of agriculture and later metallurgy. These innovations brought not only massive cultural change but also, in certain parts of the continent, a change in genetic structure. The manner in which these transitions affected the islands of Ireland and Britain on the northwestern edge of the continent remains the subject of debate. The first ancient whole genomes from Ireland, including two at high coverage, demonstrate that large-scale genetic shifts accompanied both transitions. We also observe a strong signal of continuity between modern day Irish populations and the Bronze Age individuals, one of whom is a carrier for the C282Y hemochromatosis mutation, which has its highest frequencies in Ireland today.**

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## Results

**DNA Extraction, Sequencing, and Uniparental Haplotypes.** DNA was extracted (*SI Appendix, Section S2*) from the petrous portion of the temporal bone (7) yielding high levels of endogenous content (38–68%) for all samples (Table 1). Two individuals, Ballynahatty and Rathlin1, were shotgun sequenced to high coverage (10–11×), whereas the two remaining Bronze Age samples, Rathlin2 and Rathlin3, were sequenced to 1.5× and 0.75×, respectively (*SI Appendix, Section S3*). Data authenticity was assessed by using several approaches (*SI Appendix, Section S5*). Molecular damage patterns were found to be consistent with those of ancient DNA (*SI Appendix, Fig. S5.1*). Contamination estimates were found to be low from negative controls (0.07–0.16%), as well as heterozygosity of the mitochondrial genome (0.36–0.50%) and the X chromosome in males (0.688–1.646%).

Molecular sex determination agreed with archaeological results (*SI Appendix, Section S7*), and whole mitochondrial chromosome haplogroups were assigned (Table 1 and *SI Appendix, Section S7*). The Neolithic individual, Ballynahatty, belongs to HV0, a clade with highest frequencies seen in Early and Middle Neolithic (MN) groups from Germany and France (9, 17, 18) and which has not been identified in any Mesolithic Europeans. The Bronze Age individuals belonged to the haplogroups U5 and J2b. Notably, the three Bronze Age males each belong to the Y chromosome haplogroup R1b1a2a1a2c (*SI Appendix, Section S8*). This lineage is characterized by a modern east–west frequency gradient in the British Isles, and is almost fixed (94%) in the West of Ireland (19, 20). R1b haplotypes have been argued as first appearing with steppe incursion in the central European Bronze Age (9).

**Principal Component Analysis and ADMIXTURE.** A projection principal component analysis (PCA) was used to investigate the genetic affinities of our four ancient Irish genomes, alongside 78 published ancient samples (*SI Appendix, Table S9.1*; selection criteria detailed in *SI Appendix, Section S4*) (5–10, 21–26) using 677 modern individuals from Western Eurasia (8) as a reference population (Fig. 1 *A* and *B* and *SI Appendix, Sections S9.1* and *S10*). In Fig. 1*A*, we see a clear division between hunter–gatherer and early farmer individuals, with the Ballynahatty female plotting with five other MN samples from Germany, Spain, and Scandinavia. However, a large shift in genetic variation is seen between Ballynahatty and the three Irish Early Bronze Age samples, Rathlin1, Rathlin2, and Rathlin3, who fall in a separate central region of the graph along with Unetice and other Early Bronze Age genomes from Central and North Europe. These plots imply that ancient Irish genetic affinities segregate within European archaeological horizons rather than clustering geographically within the island.

Model-based approaches allow the decomposition of individuals into coefficients contributed by a set number ( $K$ ) of ancestral populations. We investigated this by using ADMIXTURE (Version 1.23) (27) with ancient individuals included in the analysis alongside 1,941 modern samples from diverse worldwide populations (8) (*SI Appendix, Section S11*). Fig. 1*C* shows the plot of estimated ancestry proportions for each ancient genome at a value of  $K = 11$ . These partition similarly to previous analyses (7, 9, 10), with three major ancestral coefficients manifesting in

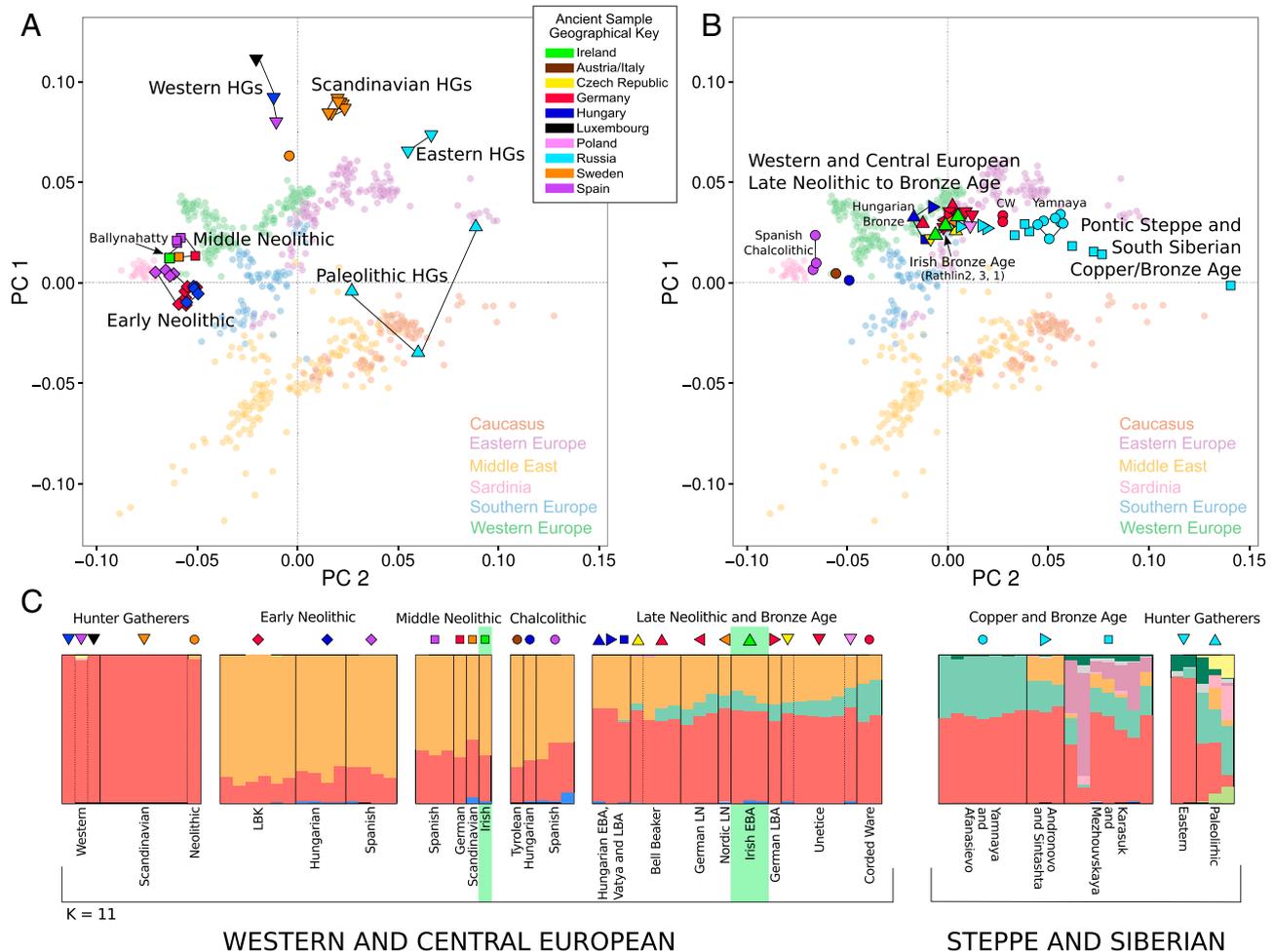
west and central Europe. The first (colored red) forms the near totality of ancestry in hunter–gatherer samples, and admixes with a second (orange) component found at high levels in Neolithic and also modern Near Eastern populations (*SI Appendix, Section S11.1*). Ballynahatty is similar to other MN samples with a majority orange “early farmer” component but with an elevated level of the red “hunter–gatherer” component compared with Early Neolithic genomes. The ancestry of Yamnaya, Early Bronze Age herders from the Pontic Steppe, is evenly divided between this same red component and the third major European coefficient, colored in green, which has been identified with a Caucasus origin (28). This Caucasus component is encountered subsequently, introduced via Yamnaya, in Late Neolithic and Bronze Age samples in central Europe (9, 10) and features in the three Irish Early Bronze Age samples within profiles similar to continental Bronze Age genomes.

**Neolithic Origins.**  $D$  and  $f$  statistics (29, 30) (*Dataset S1* and *SI Appendix, Section S12*) support identification of Ballynahatty with other MN samples who show a majority ancestry from Near Eastern migration but with some western Mesolithic introgression. Outgroup  $f_3$  statistics indicated that Ballynahatty shared most genetic drift with other Neolithic samples with maximum scores observed for Spanish Middle, Epicardial, and Cardial Neolithic populations, and the Scandinavian individual Gok2 (*SI Appendix, Section S12.1*).  $D$  statistics confirmed these affinities. Interestingly, both the Spanish MN individuals and Gok2 also belong to Megalithic passage tomb cultures. Along with other MNs, Ballynahatty displays increased levels of hunter–gatherer introgression compared with earlier farming populations.  $D$  statistics revealed that, out of the three Mesolithic hunter–gatherer groupings from Haak et al. (9), eastern hunter–gatherer (EHG), Scandinavian hunter–gatherer (SHG), and western hunter–gatherer (WHG), Ballynahatty shares the most alleles with WHG and furthermore has the strongest preference for WHG over EHG and SHG out of all contemporaneous Neolithic individuals so far sampled. However, Ballynahatty forms a clade with other MN genomes to the exclusion of WHG and, symmetrically, WHG genomes form a clade to the exclusion of Ballynahatty. Of the three WHG individuals, Ballynahatty appeared to share the least amount of affinity with LaBrana (Spain) and the largest amount of affinity with Loschbour (Luxembourg). To gauge the proportion of Ballynahatty’s ancestry derived from WHG, we used the  $f_4$  ratio,  $f_4(\text{Mbuti, Loschbour; Ballynahatty, Dai})/f_4(\text{Mbuti, Loschbour; KO1, Dai})$  (29), to estimate a hunter–gatherer component of  $42 \pm 2\%$  within a predominantly early farmer genome. Thus, we deduce migration has a primary role in Irish agricultural origins.

**Bronze Age Replacement.** Prior studies (7, 9, 10) convincingly demonstrate that Central European genomes from the late Neolithic and Early Bronze Age differ from the preceding MN due to a substantial introgression originating with Steppe herders linked to cultures such as the Yamnaya. Accordingly, we used a series of tests to gauge whether the ancestries of the Rathlin Early Bronze Age genomes were subject to this influence.  $D$  statistics confirmed that Ballynahatty and other MN individuals form clades with each other to the exclusion of these Irish Bronze Age samples. Specific disruption of continuity between the Irish Neolithic and Bronze Age is clear with significant evidence of both Yamnaya and EHG introgression

**Table 1. Sequencing results from four ancient Irish individuals**

Sample	Date, cal BC	Archaeological context	Final endogenous DNA content, %	Mean genome coverage, X	Genetic sex	mtDNA haplogroup	Y chromosome haplogroup
Ballynahatty	3343–3020	Middle to Late Neolithic	67.86	10.27	Female	HV0 +195	—
Rathlin1	2026–1885	Early Bronze Age	60.74	10.50	Male	U5a1b1e	R1b1a2a1a2c1g
Rathlin2	2024–1741	Early Bronze Age	38.15	1.49	Male	U5b2a2	R1b1a2a1a2c1
Rathlin3	1736–1534	Early Bronze Age	61.42	0.75	Male	J2b1a	R1b1a2a1a2c



**Fig. 1.** Genetic affinities of ancient Irish individuals. (A and B) Genotypes from 82 ancient samples are projected onto the first two principal components defined by a set of 354,212 SNPs from Eurasian populations in the Human Origins dataset (29) (*SI Appendix, Section S9.1 and S10*). (A) This PCA projects ancient Eurasian Hunter–Gatherers and Neolithic Farmers, where they separate clearly into Early Neolithic, MN (including the Irish Ballynahatty genome), and several hunter–gatherer groups. (B) PCA projection of Late Neolithic, Copper, and Bronze Age individuals where the three Rathlin genomes adopt a central position within a large clustering of European Bronze Age individuals. (C) A plot of ADMIXTURE ancestry components ( $K = 11$ ) of these same ancient genomes. In West and Central Europe, ancient individuals are composed almost entirely of two dominant strands of ancestry, linked to hunter–gatherer (red) and early farmer (orange) populations, until the Late Neolithic. At this point, a third (green) Caucasus component features. Previously, this component was only seen in ancient Steppe and Siberian populations such as the Yamnaya. The three Rathlin genomes each display this Caucasus strand of ancestry whereas the Irish Neolithic does not.

into Irish Bronze Age samples when placed in a clade with any MN. However, like other European Bronze Age samples, this introgression is incomplete, as they also show significant MN ancestry when placed in a clade with Yamnaya. The highest levels of MN ancestry were observed when either Ballynahatty or Gok2 (Scandinavian) was the sample under study. However, when paired with central European Bronze Age populations, the Rathlin samples show no trace of significant introgression from Ballynahatty, suggesting that earlier Irish populations may not have been a source of their partial MN ancestry.

These analyses, taken with the PCA and ADMIXTURE results, indicate that the Irish Bronze Age is composed of a mixture of European MN and introgressing Steppe ancestry (9, 10). To estimate the proportion of Yamnaya to MN ancestry in each Irish Bronze Age sample, we took three approaches. First, from ADMIXTURE analysis (Fig. 1), we examined the green Caucasus ancestry component. We presume an ultimate source of this as the Yamnaya where it features at a proportion of 40% of their total ancestry. In our three Irish Bronze Age samples, it is present at levels between 6–13%, which, when scaled up to include the

remaining 60% of Yamnaya ancestry, imply a total of 14–33% Yamnaya ancestry and therefore 67–86% MN in the Irish Bronze Age. Second, for each Bronze Age Irish individual, we calculated the proportion of MN ancestry by using the ratio  $f_4(\text{Mbuti, Ballynahatty; X, Dai})/f_4(\text{Mbuti, Ballynahatty; Gok2, Dai})$ , which gave estimates between  $72 \pm 4\%$  to  $74 \pm 5\%$ , implying again a substantial Yamnaya remainder. Third, we followed the methods described in Haak et al. (9), which use a collection of outgroup populations, to estimate the mixture proportions of three different sources, Linearbandkeramik (Early Neolithic;  $35 \pm 6\%$ ), Loschbour (WHG;  $26 \pm 12\%$ ), and Yamnaya ( $39 \pm 8\%$ ), in the total Irish Bronze Age group. These three approaches give an overlapping estimate of  $\sim 32\%$  Yamnaya ancestry.

**Runs of Homozygosity.** We coanalyzed Ballynahatty, Rathlin1, and the four other ancient Europeans sequenced to high coverage (7, 8) to estimate the fraction of each genome under runs of homozygosity (ROH) (*SI Appendix, Section S13*). This approach may be useful to inform on past demography; long contiguous homozygous segments indicate recent endogamy, whereas shorter runs result from older restrictions in ancestral population size (31, 32). Fig. 2 plots these



Europe and seems to occur rapidly  $\sim 3750$  BC (47). The nature of this transition remains a long-standing archaeological controversy between proposals of migration by incoming farmers versus those of adoption of agriculture by indigenous Mesolithic populations (48–51). The Irish MN female farmer (3343–3020 cal. BC) from a Megalithic tomb in Ballynahatty near Belfast affords, to our knowledge, a first direct genetic view of the transition at Europe's western edge. She displays predominant ancestry from early farmers that ultimately originated in migrating agriculturists from the Near East. This derivation is attested by her PCA and ADMIXTURE profile, her correlated allele frequencies with other Neolithic genomes, reflected in  $D$  statistics, and by her haplotypic affinity with modern southern Mediterranean populations such as Sardinians. Her early European farmer coefficient is estimated at  $\sim 60\%$ ; an ancestry which is difficult to reconcile with extensive indigenous adoption of agriculture in Ireland only several hundred years earlier. She shares higher levels of genetic drift with Early and MN samples from Spain rather than those from Germany, supporting a link between the early farming cultures of Atlantic Europe and arguing for the possible passage of farming to Ireland via a southern coastal route rather than via the migrations through central Europe (2). A high affinity to Scandinavian farmer, Gok2 is more difficult to interpret as it is associated with the TRB (Funnelbeaker) culture whose origins are generally derived from Central European farming cultures but perhaps it is noteworthy that this later sample is also recovered from a Megalithic context.

From examination of the fraction of her genome, which is under ROH, she seems similar to other ancient Neolithics, suggesting that she belonged to a large outbreeding population. This analysis argues against a marked population bottleneck in her ancestry, such as might have occurred had she been descended from a small pioneering group of migrating farmers. Either a restricted colonization does not reflect the nature of the first Irish farmers or her ancestry was augmented by substantial additional Neolithic communication from elsewhere in intervening centuries.

However, like other MN genomes, Ballynahatty shows some elevation of hunter-gatherer ancestry relative to earlier Neolithic samples, suggesting admixture with surviving Mesolithic populations somewhere within the temporal and spatial trajectory between the Neolithic heartland and north east Ireland  $\sim 3300$  BC (5, 9). Further sampling will be needed to determine the local or continental origins of these hunter-gatherer ancestral components but it is interesting that she shows slightly higher affinity to a Luxembourg Mesolithic genome, than to Hungarian and Spanish equivalents.

There are several lines of evidence for discontinuity between the MN and Early Bronze Age within our genome samples from northeast Ireland. First, they occupy different regions in the PCA plot; second, there is a signal from the ADMIXTURE analysis of a Caucasus ancestral component within the Rathlin genomes, which is absent in Ballynahatty and other western MN genomes. Third,  $D$  statistics also show highly significant evidence for this eastern introgression. Finally, each Bronze Age sample (all male) exhibits the Bronze Age-associated Y chromosome lineage R1b-M269, the appearance of which has been strongly linked with Steppe incursion into Central Europe (9). Thus, it is clear that the great wave of genomic change which swept from above the Black Sea into Europe around 3000 BC washed all of the way to the northeast shore of its most westerly island. At present, the Beaker culture is the most probable archaeological vector of this Steppe ancestry into Ireland from the continent, although further sampling from Beaker burials across western Europe will be necessary to confirm this. The extent of this change, which we estimate at roughly a third of Irish Bronze Age ancestry, opens the possibility of accompanying language change, perhaps the first introduction of Indo-European language ancestral to Irish. This assertion gains some support by the relative

lack of affinity of non-Indo-European speakers, Basques, to the ancient Bronze Age genomes (Fig. 3).

The high coverage of both Ballynahatty and Rathlin1 allows a sensitive test of haplotype donation to modern populations, with interesting and contrasting results. Whereas Ballynahatty shows closest affinity with the southwest Mediterranean, Rathlin1 has highest sharing with the geographically closest modern populations, a trend not seen with the other high coverage ancient genome samples. This affinity with Irish, Scottish, and Welsh (a weaker signal from modern English populations is undoubtedly due to the effects of Anglo-Saxon migrations; ref. 36) suggests a degree of continuity stretching over 4,000 y at the insular Celtic edge of Europe. Ireland shows the global maxima for frequencies of the R1b1a2a1a2c (M529) Y chromosome haplotype, lactase persistence, and the C282Y hemochromatosis allele. Our data show that these genotypes had arrived by the time of the Irish Bronze Age. Hemochromatosis, known as the "Celtic" disease (44), is a variably penetrant recessive disorder, potentially fatal, due to excessive retention of dietary iron. It has been suggested that the high frequencies of both C282Y and H63D are due to heterozygote advantage related to nutritional advantage in Neolithic iron-poor diets (52), mitigation of celiac disease (53), and increased resistance to parasitic infection (54). To our knowledge, our data provide the first evidence for known Mendelian disease alleles in ancient genomes and mark the associations of both hemochromatosis alleles with the island of Ireland as ancient.

## Materials and Methods

A full description of the materials and methods is provided in *SI Appendix*. Briefly, we extracted DNA by using a modified silica column based method (55, 56) from four petrous bones (7) belonging to one Neolithic (Ballynahatty) and three Bronze Age (Rathlin1–3) individuals (*SI Appendix, Section S2*). DNA fragments were then incorporated into next-generation sequencing libraries (7, 57), amplified with distinct indexing oligos, purified (MinElute PCR Purification kit, Qiagen), pooled, and sequenced with Illumina technology (*SI Appendix, Section S3*). Sample reads were subsequently trimmed of adapter sequences by using cutadapt (Version 1.2.1; ref. 58) and aligned to the human reference genome (hg19/GRCh37), with the mitochondrial genome replaced by the revised Cambridge reference sequence using BWA (Version 0.7.5; ref. 59). Reads were filtered for mapping quality, and clonal amplification products were removed with SAMtools (60). Base quality scores were recalibrated by using mapDamage (Version 2.0; ref. 61) (*SI Appendix, Section S4*).

Data authenticity was established by examination of molecular damage patterns and levels of mtDNA and X chromosome contamination (*SI Appendix, Section S5*). Molecular sex determination, mtDNA, and Y chromosome analysis were carried out following established methods (*SI Appendix, Sections S6–S8*). Sample genotypes at known SNP positions were called by using GATK (Version 2.4; ref. 62), and merged with modern data from the Human Origins array (8), Hellenthal et al. (37), 1000 Genomes Phase 3 (Version 5; ref. 33), and the Simons Genome Diversity Project (34), as well as published ancient data (5–10, 21–26) (*SI Appendix, Section S9*). Relationships between the ancient Irish samples and other ancient and modern individuals were investigated by using PCA (*SI Appendix, Section S10*) and ADMIXTURE (27) (*SI Appendix, Section S11*), with formal confirmation of these relationships assessed by using  $D$  and  $f$  statistics (29, 30, 63) (*SI Appendix, Section S12*). For our high-coverage samples, Ballynahatty and Rathlin1, we performed haplotype-based analysis by using FineSTRUCTURE (Version 2; ref. 35) (*SI Appendix, Section S14*) and investigated the proportion of their genomes under ROH with PLINK (Version 1.90; ref. 64) (*SI Appendix, Section S13*). Finally, we investigated, by direct observation, a panel of SNPs, small indels, and multiallelic markers known to have well established phenotypic associations with traits such as pigmentation, immunity, disease susceptibility, diet, and blood group (*SI Appendix, Section S15*).

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